EXHIBIT LIST

EXHIBIT A

Affidavit of Service, Special Process Server

EXHIBIT B

Sworn Declaration Pursuant To 28 U.S.C.A. § 1746 James Rosemeyer, Contributions Manager

EXHIBIT C

Sworn Declaration Pursuant To 28 U.S.C.A. § 1746 Daniel P. McAnally, Plaintiffs Attorney

Exhibit A

Exhibit A

AO 440 (Rev. 05/00) Summons in a Civil Action

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

JUDGE HART MAGISTRATE JUDGE VALDEZ SUMMONS IN A CIVIL CASE

TRUSTEES of the REGIONAL COUNCIL OF
CARPENTERS PENSION FUND, WELFARE FUND
and APPRENTICE & TRAINEE PROGRAM FUND

CASE NUMBER:

V.

ASSIGNED JUDGE:

R & W CLARK CONSTRUCTION, INC. a dissolved corporation and RICK CLARK individually and d/b/a R & W CLARK CONSTRUCTION, INC.

DESIGNATED MAGISTRATE JUDGE:

TO: (Name and address of Defendant)

RICK CLARK 17733 LILAC LANE TINLEY PARK, IL 60477

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

DANIEL P. McANALLY WHITFIELD McGANN & KETTERMAN 111 E. WACKER DRIVE **SUITE 2600** CHICAGO, IL 60601

an answer to the complaint which is herewith served upon you, within	20	days after service of this
summons upon you, exclusive of the day of service. If you fail to do s	o, judgment by default wil	l be taken against you for the
relief demanded in the complaint. You must also file your answer with	the Clerk of this Court with	in a reasonable period of time
after service.		

Michael W. Dobbins, Clerk

(By) DEPUTY CLERK

March 5, 2008 Date

ClientCaseID: N7627/DPM Law Firm ID: WHITFIEL



CaseReturnDate: 4/13/08

Affidavit of Special Process Sever

Page 4 of 19

UNITED STATES DISTRICT COURT

Case Number 08C1311

I. ANNA CANOLE

FIRST DULY SWORN ON OATH STATES THAT I AM OVER 18 YEARS OF AGE AND NOT A PARTY TO THIS SUIT AND IS A REGISTERED EMPLOYEE OF ILLINOIS DEPARTMENT OF PROFESSIONAL REGULATION PRIVATE DETECTIVE AGENCY #117-001292 STERN PROCESS & INVESTIGATION LLC 205 W. RANDOLPH ST. #1210 CHICAGO IL 60606

ABODE SERVICE

THAT I SERVED THE WITHIN SUMMONS AND COMPLAINT

ON THE WITHIN NAMED DEFENDANT RICK CLARK, INDIVIDUALLY

PERSON SERVED

COLLEEN CLARK, DAUGHTER

I SERVED A MEMBER OF HOUSEHOLD 13 YEARS OF AGE OR OLDER AT THE DEFENDANTS USUAL PLACE OF ABODE AND INFORMED THAT PERSON OF THE CONTENTS THEREOF AND FURTHER MAILED A COPY OF THE SUMMONS OR PROCESS IN A SEALED ENVELOPE WITH POSTAGE PREPAID TO THE DEFENDANT, AT HIS USUAL PLACE OF ABODE WITHIN TWO BUSINESS DAYS OF THE SERVICE.

That the sex, race and approximate age of the person whom I left the

SUMMONS AND COMPLAINT

are as follow:

Sex FEMALE Race WHITE

Age 19

Height 5'7"

Build THIN

Hair BLONDE

LOCATION OF SERVICE

17733 Lilac Lane Tinley Park, IL, 60477

Date Of Service:

3/16/08

Time of Service:

9:53 AM

Date Of Mailing

3/18/2008

ANNA CANOLE

3/28/2008

Special Process Sever P.E.R.C.#129-203615

Under penalties of perjury as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statement are true and correct, except as to matters therein stated to be on information and belief and such matters the undersigned certifies as aforesaid that he/she verily believes same to be true/

Page 5 of 19

08 C 1311

AO 440 (Rev. 05/00) Summons in a Civil Action

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

JUDGE HART MAGISTRATE JUDGE VALDEZ

SUMMONS IN A CIVIL CASE

TRUSTEES of the REGIONAL COUNCIL OF
CARPENTERS PENSION FUND, WELFARE FUND
and APPRENTICE & TRAINEE PROGRAM FUND

CASE NUMBER:

V.

ASSIGNED JUDGE:

R & W CLARK CONSTRUCTION, INC. a dissolved corporation and RICK CLARK individually and d/b/a R & W CLARK CONSTRUCTION, INC.

DESIGNATED

MAGISTRATE JUDGE:

TO: (Name and address of Defendant)

R & W CLARK CONSTRUCTION, INC. c/o RICK CLARK, REGISTERED AGENT 17733 LILAC LANE TINLEY PARK, IL 60477

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

DANIEL P. McANALLY WHITFIELD McGANN & KETTERMAN 111 E. WACKER DRIVE **SUITE 2600** CHICAGO, IL 60601

	20	
an answer to the complaint which is herewith served upon you, within	20	_days after service of this
summons upon you, exclusive of the day of service. If you fail to do so	, judgment by default wi	Ill be taken against you for the
relief demanded in the complaint. You must also file your answer with the	ne Clerk of this Court wit	hin a reasonable period of time
after service.		•

Michael W. Dobbins, Clerk

(By) DEPUTY CLERK

March 5, 2008

Date



ClientCaseID: No Law Firm ID: W

N7627/DPM

WHITFIEL



CaseReturnDate: 4/13/08

Affidavit of Special Process Sever

UNITED STATES DISTRICT COURT

Case Number 08C1311

I. ANNA CANOLE

FIRST DULY SWORN ON OATH STATES THAT I AM OVER 18 YEARS OF AGE AND NOT A PARTY TO THIS SUIT AND IS A REGISTERED EMPLOYEE OF ILLINOIS DEPARTMENT OF PROFESSIONAL REGULATION PRIVATE DETECTIVE AGENCY #117-001292 STERN PROCESS & INVESTIGATION LLC 205 W. RANDOLPH ST. #1210 CHICAGO IL 60606

CORPORATE SERVICE

THAT I SERVED THE WITHIN SUMMONS AND COMPLAINT
ON THE WITHIN NAMED DEFENDANT R & W Clark Construction, Inc.
PERSON SERVED RICK CLARK, AGENT
BY LEAVING A COPY OF EACH WITH THE SAID DEFENDANT ON 3/22/08

That the sex, race and approximate age of the person whom I left the

SUMMONS AND COMPLAINT

are as follow:

Sex MALE

Race WHITE

Age 50S

Height 5'10"

Build AVERAGE

Hair SALT & PEPPER

LOCATION OF SERVICE

17733 Lilac Lane Tinley Park, IL, 60477

Date Of Service

3/22/08

Time of Service

ANNA CANOLE

3/28/2008

Special Process Sever

P.E.R.C.#129-203615

Under penalties of perjury as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statement are true and correct, except as to matters therein stated to be on information and belief and such matters the undersigned certifies as aforesaid that he/she verily believes same to be true.

Exhibit B

Exhibit B

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

TRUSTEES of the CHICAGO REGIONAL COUNCIL OF CARPENTERS PENSION FUND, et al.)
Plaintiffs,) Case No. 08 C 1311
v.) Judge Hart)
R & W CLARK CONSTRUCTION, INC. a dissolved corporation and RICK CLARK individually and d/b/a R & W CLARK CONSTRUCTION, INC.)))
Defendants.)

SWORN DECLARATION PURSUANT TO 28 U.S.C.A. § 1746

James Rosemeyer declares as follows:

- 1. I am the Manager of the Employer Contributions Department for the Chicago Regional Council of Carpenters Pension Fund and the Chicago Regional Council of Carpenters Welfare Fund ("Trust Funds") and in such capacity I am authorized to make this Declaration on behalf of the Trust Funds.
- 2. The Defendants executed an Agreement with the Chicago and Northeast Illinois Regional Council of Carpenters ("Union") whereby it agreed to be bound by the provisions of a Collective Bargaining Agreement and to all Collective Bargaining Agreements subsequently negotiated.
- 3. Pursuant to the provisions of the Agreement and the Collective Bargaining Agreements, the Defendants agreed to be bound by the provisions of the Agreements and Declarations of Trust, which created the Plaintiffs' Trust Funds.

- Pursuant to the provisions of the Collective Bargaining Agreements and Trust 4. Agreements, the Defendants are required to submit monthly reports which list the number of hours worked by its carpenter employees and the Defendants are required to pay contributions based upon the hours listed.
- The Defendants submitted the contribution reports for the period October 5. 2007 through December 2007 but did not pay the contributions. The reports show that \$7,972.28 is owed in ERISA contributions. The Defendants also failed to remit the union dues it withheld from the employees' wages. The amount of dues withheld is \$358.04 for the period November 2007 through December 2007.
- 6. Because of its failure to pay contributions in a timely manner, the Trust Agreement and Collective Bargaining Agreement mandate the assessment of liquidated damages. The liquidated damages calculation was based on the rate set forth in the controlling Trust Agreements, which is 1.5% compounded per month. The amount of liquidated damages owed is \$3,533.83 for the period July 2006 through December 2007.
- The interest calculation is based on the ERISA Section awarding such interest. 7. 29 U.S.C. $\S1132(g)(2)$ and because the relevant Trust Agreements do not specify the rate of $interest, the \, calculations \, were \, done \, pursuant \, to \, Section \, 6621 \, of \, the \, Internal \, Revenue \, Code.$ The amount of interest owed is \$89.39.

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Declaration is true and correct.

Date:	May 21, 2008	
Signature:		

4/28/2008

R & W Clark Construction, Inc. 19418 97th Avenue Mokena, II. 60448

Account #18819

Contribution	ns	Liquidated Damages	<u>Interest</u>	<u>Dues</u>
Jul-06		\$347.47		
Aug-06		\$353.19		
Sep-06		\$254.80		
Oct-06		\$224.03		
Nov-06		\$186.67		
Dec-06		\$183.30		
Jan-07		\$107.18		
Feb-07		\$44.60		
Mar-07		\$120.17		•
Apr-07		\$117.13		•
May-07		\$130.76		
Jun-07		\$215.68		
Jul-07		\$219.68		
Aug-07		,\$183. 21	•	
Sep-07		\$180.50		
Oct-07	\$2,824.28	\$387.31	\$42.78	
Nov-07	\$3,168.00	\$189.38	\$31.22	\$222.07
Dec-07	<u>\$1,980.00</u>	\$88.77	<u>\$15.39</u>	<u>\$135.97</u>
totals:	\$7,972.28	\$3,533.83	\$89.39	\$358.04

Exhibit C

Exhibit C

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

TRUSTEES of the CHICAGO REGIONAL COUNCIL OF CARPENTERS PENSION FUND, et al.)))
Plaintiffs,) Case No. 08 C 1311
) Judge Hart
v.)
R & W CLARK CONSTRUCTION, INC. a dissolved corporation and RICK CLARK individually and d/b/a R & W CLARK CONSTRUCTION, INC.))))
Defendants.)

SWORN DECLARATION PURSUANT TO 28 U.S.C.A. \S 1746

Daniel P. McAnally declares as follows:

- 1. I am an associate of the law firm of Whitfield & McGann and am licensed to practice law in the State of Illinois and in the U.S. Dist. Court for Northern District of Illinois, Eastern Division.
- 2. I have personal knowledge of the facts stated herein and if called to testify in this matter, I can competently testify to such facts from my own such knowledge.
- 3. I have in excess of 15 years experience representing trustees of employee benefit plans, including the prosecution of Federal Court litigation to collect delinquent employer contributions.
- 4. The Collective Bargaining Agreement and the Trust Agreements under which this action is based provide for the payment of attorneys' fees and costs incurred if the Trust Funds utilize legal counsel to collect unpaid ERISA contributions.

- I have devoted 9.60 hours in connection with the this case at the rate of 5. \$175.00 per hour. The total attorney fees billings is \$1,680.
- 6. In addition, the filing fee was \$350.00 and the fees for service of process were an additional \$110.00. These costs total \$460.00.
- I certify that the attached detailed attorney fees and costs totaling \$2,140 were 7. necessary and reasonable.

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Declaration is true and correct.

Date: May 21, 2008

s/ DANIEL P. McANALLY

Attorney for the Trustees of the Chicago Regional Council of Carpenters Pension Fund, et al.

Case 1:08-cv-01311 Document 10-2 Filed 05/21/2008 Page 14 of 19

5/21/2	800
12:57	РΜ

WHITFIELD, McGANN & KETTERMAN

12:57 PM		Slip Listing		Page	1
		Selection Criteria			
Case (hand select) Slip.Classification Case (hand select) Slip.Transaction Ty			100.0	727	
Rate Info - identifies	rate source and level				
Slip ID Dates and Time		ATTORNEY Activity	Units DNB Time	Rate Rate Info	Slip Value

Dates and Time Posting Status Description			Activity Case Reference	DNB Time Est. Time Variance	Rate Info Bill Status	Slip Value
354647 3/1/2008 Billed LEXIS FEE: Sea State database including register for purposes of companies; per company and process of company and	for corporate ir ered agent of th service; search form asset sea	nformation ne corporation n for related	CPW Billable CTF-C./N7627	0.00 0.00 0.00	T@7	
354646 3/1/2008 Billed Review referral database and lo case facts, histo Prepare/ Open corporate status information for I	ead information ory and legal sti file for litigation and registered	regarding rategy. n; review	DPM Billable CTF-C./N7627	1.00 0.00 0.00 0.00	175.00 T@7	175.00
354648 3/3/2008 Billed Prepare summore forms and civil or claims and com ERISA Sec. 113	cover sheet for pliance matters	Trust Funds' pursuant to	DPM Billable CTF-C./N7627	2.00 0.00 0.00 0.00	175.00 T@7	350.00
354649 3/4/2008 Billed Prepare the consheet and apperfile the document	arance for elec	4/2/2008 ns, civil cover tronic filing;	DPM Billable CTF-C./N7627	0.25 0.00 0.00 0.00	175.00 T@7	43.75
354650 3/6/2008 Billed Review complai load information			DPM Billable CTF-C./N7627	0.40 0.00 0.00 0.00	175.00 T@7	70.00

5/21/2008 12:58 PM

WHITFIELD, McGANN & KETTERMAN Slip Listing

Page

2

Slip ID Dates and Time Posting Status Description case number and assigned judge into the database; review (via the Internet) the judge's standing order regarding pre-trial litigation, motion practice and status hearing dates; update file / database regarding same.	ATTORNEY Activity Case Reference	Units DNB Time Est. Time Variance	Rate Rate Info Bill Status	Slip Value
354651 TIME 3/7/2008 Billed G:73223 4/2/2008 Prepare correspondence to legal process server Scott Forrest Stern & Associates, Incregarding service of the summons and complaint on the defendant; prepare correspondence to James Rosemeyer, ERISA Contributions Manager, Chicago District Council of Carpenters' Trust Funds regarding the filling of the complaint; enclose copy of the summons and complaint; docker follow-up dates for service.	e	1.00 0.00 0.00 0.00	175.00 T@7	175.00
357007 TIME 4/29/2008 Billed G:73483 5/1/2008 Telephone conference with trust fund contributions manager, James Rosemeyer regarding reports submitted; discussed status of the litigation and future action to be taken.	DPM Billable CTF-C./N7627	0.20 0.00 0.00 0.00	175.00 T@7	35.00
357005 TIME 4/29/2008 Billed G:73483 5/1/2008 Review e-mail sent by the U.S. Dist. Court for the Northern Dist. of Illinois regarding MINUTE entry before Judge Honorable William T. Hart: Status hearing set for 5/1/2008 at 11:00 AM.; download documents to the file folder; print document and enclose in file.	DPM Billable CTF-C./N7627	0.25 0.00 0.00 0.00	175.00 T@7	43.75
357230 TIME 5/1/2008 WIP Attend court appearance at the U.S. District Court for the Northern District of Illinois before Judge Hart.	DPM Billable CTF-C./N7627	1.00 0.00 0.00 0.00	175.00 T@7	175.00
357602 TIME 5/2/2008 WIP Review Scott Forrest Stern & Assoc., Inc.'s	DPM Billable CTF-C./N7627	0.50 0.00 0.00 0.00	175.00 T@7	87.50

5/21/2	2008
12:58	PΜ

WHITFIELD, McGANN & KETTERMAN Slip Listing

Page

3

	3			g.
Slip ID Dates and Time Posting Status Description	ATTORNEY Activity Case Reference	Units DNB Time Est. Time Variance	Rate Rate Info Bill Status	Slip Value
report on service of the summons and complaint for Rick Clark personally; review process server remarks regarding details of service; review affidavit of service for legality electronically file the proof of service with the clerk of the U.S. Dist. Court for the Northern Dist. of Illinois; docket follow-up dates for Answer due date.	r;			
357328 TIME 5/5/2008 WIP Review e-mail sent by the U.S. Dist. Court for the Northern Dist. of Illinois regarding MINUTE entry before Judge Honorable William T. Hart:Status hearing held on 5/1/2008. Status hearing set for 5/28/2008 a 11:00 AM.; download documents to the file folder; print document and enclose in file.	DPM Billable CTF-C./N7627	0.25 0.00 0.00 0.00	175.00 T@7	43.75
357603 TIME 5/9/2008 WIP Review Scott Forrest Stern & Assoc., Inc.'s report on service of the summons and complaint on R & W Clark Construction; review process server remarks regarding details of service; review affidavit of service for legality; electronically file the proof of service with the clerk of the U.S. Dist. Court for the Northern Dist. of Illinois; docket follow-up dates for Answer due date.	DPM Billable CTF-C./N7627	0.50 0.00 0.00 0.00	175.00 T@7	87.50
357670 TIME 5/12/2008 WIP Review e-mail sent by the U.S. Dist. Court for the Northern Dist. of Illinois regarding the court filed summons; download documents to the file folder; print document and enclose in file.		0.25 0.00 0.00 0.00	175.00 T@7	43.75
358169 TIME 5/21/2008 WIP Review court file to determine if Answer filed review office file for Answer; confirm Answer due dates; review U. S. District Court website to verify the date and time that the presiding Judge hears motions; prepare motion for default judgment pursuant to F. Rul. Civ. P. 55 for failure to Answer or		2.00 0.00 0.00 0.00	175.00 T@7	350.00

4

5/21/2008 WHITFIELD, McGANN & KETTERMAN 12:58 PM Slip Listing Page Slip ID Slip Value **ATTORNEY** Units Rate Dates and Time Activity **DNB Time** Rate Info Posting Status Est. Time Bill Status Case Description Reference Variance otherwise plead. **Grand Total** Billable 10.40 1868.00 Unbillable 0.00 0.00 Total 10.40 1868.00 9.60 @ 175-Filing Fee Service \$ 2,140

STERN PROCESS & INVESTIGATION, LLC

Tax ID 04-3801615

205 W. RANDOLPH ST 1210

CHICAGO, IL, 60606

Phone (312)-853-2150

Fax (312)-853-3119

Customer

WHITFIELD, MCGANN & KETTERMAN

111 E WACKER DRIVE 2600

CHICAGO, IL, 60601

Phone: (312)-251-9700 Fax: (312)-251-9701

Invoice#

179965

Date Of Invoice: 3/24/2008

Plaintiff: Trustees of the Regional Council of Carpenters Pension Fund Court CaseID: 08C1311

Firm# N7627/DPM

Case Returned Date: 4/13/08

County:

Sex MALE

UNITED STATES DISTRICT COURT

Height 5'10"

Process Server: ANNA CANOLE

ProcessType: SUMMONS AND COMPLAINT

Defendant:#1 R & W Clark Construction, Inc.

Type Of Service: CORPORATE SERVICE

Person Served: RICK CLARK, AGENT Age 50S

Build AVERAGE Hair Color SALT &

Date Of Service: 3/22/2008

Race WHITE

Time: 7:46 PM

Defendar	nt:#2			Type Of Service:	
Person S	erved:			Date Of Service:	Time:
Sex	Age	Height	Build	Hair Color	Race

Location 17733 Lilac Lane, Tinley Park, IL, 60477

Type Of Premise: SINGLE FAMILY HOME

Delivery Charge	\$55.00	Rush	\$0.00
Bad Address	\$0.00	Filing	\$0.00
No Contact	\$0.00	Investigation	\$0.00
Database/Skip	\$0.00	Advanced fees	\$0.00
		Total:	\$55.00
Date Received	Check No	Amount Received	\$0.00

Balance Due

\$55.00

INFORMATION DEEMED RELIABLE BUT NOT GUARANTEED PAYABLE UPON RECEIPT, 2% PER MONTH

STERN PROCESS & INVESTIGATION, LLC

Tax ID 04-3801615

205 W. RANDOLPH ST 1210

CHICAGO, IL, 60606

Phone (312)-853-2150

Fax (312)-853-3119

Customer

WHITFIELD, MCGANN & KETTERMAN

111 E WACKER DRIVE 2600

CHICAGO, IL, 60601

Phone: (312)-251-9700 Fax: (312)-251-9701

Invoice# 181226

Date Of Invoice: 3/28/2008

Plaintiff: Trustees of the Regional Council of Carpenters Pension Fund

Court CaseID: 08C1311

Firm# N7627/DPM

Case Returned Date: 4/13/08

County:

UNITED STATES DISTRICT COURT

Process Server: ANNA CANOLE

ProcessType:

SUMMONS AND COMPLAINT

Defendant:#1 RICK CLARK, INDIVIDUALLY Person Served: COLLEEN CLARK, DAUGHTER Type Of Service: ABODE SERVICE

Date Of Service: 3/16/2008 Time: 9:53 AM

Sex FEMALE Age 19

Height 5'7"

Build THIN

Hair Color BLONDE

Race WHITE

\$55.00

Defendant:#2 Type Of Service: Date Of Service: Time: Person Served: Height Build **Hair Color** Race Sex Age

Location 17733 Lilac Lane, Tinley Park, IL, 60477

Type Of Premise:

Delivery Charge	\$55.00	Rush	\$0.00
Bad Address	\$0.00	Filing	\$0.00
No Contact	\$0.00	Investigation	\$0.00
Database/Skip	\$0.00	Advanced fees	\$0.00
		Total:	\$55.00
Date Received	Check No	Amount Received	\$0.00

INFORMATION DEEMED RELIABLE BUT NOT GUARANTEED

Balance Due

PAYABLE UPON RECEIPT, 2% PER MONTH